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## Relationship between 2'-Hydroxyls and Magnesium Binding in the Hammerhead RNA Domain: A Model for Ribozyme Catalysis<sup>†</sup>

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**ABSTRACT:** The use of deoxyribonucleotide substitution in RNA (mixed RNA/DNA polymers) permits an evaluation of the role of 2'-hydroxyl groups in ribozyme catalysis. Specific deoxyribonucleotide substitution at G<sup>9</sup> and A<sup>13</sup> of the ribozyme decreases the catalytic activity ( $k_{cat}$ ) of the ribozyme by factors of 14 and 20, respectively. The reduction of the reaction rate concomitant with the absence of these 2'-OHs or the 2'-OH of the substrate U<sup>7</sup> position can be partially compensated by increasing the Mg<sup>2+</sup> concentration above 10 mM. The  $K_M$  of the all-RNA ribozyme is 5.3 mM, and the lack of either of the three influential 2'-OHs increases this value by a factor of approximately 3. These and other reaction constants for the ribozyme and the deoxy-substituted analogues have been determined by assuming a three-step mechanism. The data presented here provide the basis for the formulation of a molecular model of ribozyme activity.

The introduction of deoxyribonucleotides in specific positions of RNA molecules is made possible by an RNA synthesis scheme (Usman et al., 1987; Scaringe et al., 1990) which is compatible with the chemical synthesis procedure for DNA (Wu et al., 1989). We expect deoxyribonucleotide incorporation in polyribonucleotide chains to have minimal structural consequences, since unlike site-directed mutagenesis, where one nitrogen base is replaced by another, neither base-pairing nor base-stacking, the principle determinants of nucleic acid structure, should be affected. Deoxyribonucleotide substitution (i.e., modification of the sugar moiety) in RNA thus offers a unique and incisive tool in the study of the functional and structural role of 2'-hydroxyls in RNA. Using these mixed RNA/DNA polymers, we are exploring structure-function relationships in the self-cleaving activity associated with the RNA hammerhead domain (Perreault et al., 1990). Hammerhead catalysis is characterized by the cleavage of a specific phosphodiester bond producing 5'-hydroxyl and 2',3'-cyclic

phosphate products (Uhlenbeck, 1987). As shown in Figure 1, this domain can be partitioned into 2 RNA fragments which allows the formalism of defining a ribozyme fragment of 35 nucleotides and a substrate fragment of 14 nucleotides (Gerlach & Haseloff, 1988; Koizumi et al., 1988; Forster et al., 1988; Jeffries & Symons, 1989). We have previously established that the 2'-OH adjacent to the scissile phosphate of the substrate at position C<sup>8</sup> (Figure 1) is the nucleophile in the reaction (Perreault et al., 1990) and that this is the only essential 2'-OH in the substrate fragment, even though absence of the 2'-OH of U<sup>7</sup> has a negative effect on the reaction rate (Yang et al., 1990).

As for the ribozyme fragment itself, no single 2'-OH is essential in the reaction, in spite of the fact that the 2'-OH of G<sup>9</sup> and at least one other 2'-OH of the central core strongly affect catalytic efficiency (Perreault et al., 1990). We now report the identification of a second influential hydroxyl in the ribozyme fragment, that of A<sup>13</sup>. Furthermore, our data show that the 2-OHs of the ribozyme G<sup>9</sup> and A<sup>13</sup> and the substrate U<sup>7</sup> are implicated in a low-affinity binding of the Mg<sup>2+</sup> co-factor to a specific site in the substrate/ribozyme complex. These data allow the formulation of a structural model of ribozyme activity.

### MATERIALS AND METHODS

**Synthesis and Purification of DNA/RNA Mixed Polymers.** All oligonucleotides were synthesized on an automated oligonucleotide synthesizer (Milligen/Bioscience and Pharmacia) and deprotected as described elsewhere (Perreault et al., 1990;

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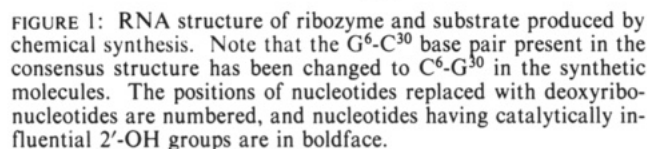
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**Standard Cleavage Reaction.** Standard reaction conditions were as follows: substrate (1.15  $\mu\text{M}$ ) and analogue ribozymes (0.05  $\mu\text{M}$ ) were heated to 60  $^{\circ}\text{C}$  for 15 s in 75 mM Tris-HCl buffer, pH 7.5. After snap-cooling,  $\text{MgCl}_2$  was added to 10 or 50 mM, and the final volume of 10  $\mu\text{L}$  was incubated for 60 min at 37  $^{\circ}\text{C}$ . The reaction was stopped by the addition of formamide dye and analyzed by 20% PAGE.

**Kinetic Determination.** The reactions were performed as described above. The analogue ribozyme concentrations were 0.025 or 0.05  $\mu\text{M}$ , and four substrate concentrations were used varying from 0.12 to 3.0  $\mu\text{M}$  or from 0.25 to 6.0  $\mu\text{M}$ , respectively. Aliquots were taken, and values up to 10% cleavage were used in the calculations. All reactions were done in duplicate. For  $[\text{Mg}^{2+}]$  dependence, the reaction conditions were as described above except for the concentrations of substrate (4.0, 1.15, and 0.25  $\mu\text{M}$ ) and analogue ribozymes (0.05  $\mu\text{M}$ ). The  $\text{Mg}^{2+}$  concentrations varied between 0 and 50 mM.

**Implication of 2'-Hydroxyls in Catalysis.** At the inception of this work, the 2'-OHs of the consensus, unpaired nucleotides of the hammerhead domain were considered prime candidates to have an important role in catalysis, since ribonucleotide 2'-OHs in base-paired regions were most likely engaged in

Figure 1 consists of three panels, A, B, and C, each showing a gel electrophoresis result. The gels are labeled with lane numbers at the top and molecular weight markers on the right. Panel A shows a single lane with lanes 1-4 labeled at the top. Panel B shows a single lane with lanes 1-7 labeled at the top. Panel C shows two lanes with lanes 1-3 labeled '10mM' and lanes 4-6 labeled '50mM' at the top. Molecular weight markers are indicated on the right: -ori, -XC, -BBP.

FIGURE 2: Activity gels of analogue ribozyme catalysis using 5'-<sup>32</sup>P-labeled all-RNA substrate. (A) Controls lacking ribozyme (lane 1 at 0 min and lane 2 at 60 min) are compared with 60-min reactions using the RRdG9 analogue ribozyme (lane 3) and the all-RNA ribozyme (lane 4). (B) Lanes 1 and 2 are controls without ribozyme incubated for 0 and 120 min, respectively. Other lanes are the reaction mixture after 60-min incubation with the all-RNA (lane 3), RRdG12dA13 (lane 4), RRdA13 (lane 5), RRdG12 (lane 6), and RRdG26dA27dA28 (lane 7). (C) Effect of Mg<sup>2+</sup> concentration on the cleavage activity. Lanes 1 and 4, all-RNA ribozyme; lanes 2 and 5, RRdG9 analogue ribozyme; lanes 3 and 6, RRdA13. The reaction conditions were the same as in panels A and B, except that the [Mg<sup>2+</sup>] was 10 mM (lanes 1-3) and 50 mM (lanes 4-6).

Table 1:  $K_M$  and  $k_{cat}$  Values for Various Ribozymes and Substrates<sup>a</sup>

Ribozyme Analog	Single strand Sequence 8 13 26 28 UGAUGA GAA	$k_{cat}$ min <sup>-1</sup>	$K_M$ μM	$k_{cat}/K_M$ min <sup>-1</sup> · μM <sup>-1</sup>
all-RNA*	UGAUGA GAA	2,6	0,92	2,8
octadeoxy*	UGAUGA GAA	0,014	0,96	0,015
pentadeoxy*	UGAUGA GAA	0,087	0,95	0,09
RRdG9	UGAUGA GAA	0,18	1,0	0,18
RRdG12dA13	UGAUGA GAA	0,09	0,91	0,10
RRdG12	UGAUGA GAA	1,2	0,89	1,4
RRdA13	UGAUGA GAA	0,13	0,95	0,14
RRdG26dA27dA28	UGAUGA GAA	1,3	0,84	1,5
<hr/>				
Substrate				
RSdT7**	ACGGUUCACGAGC	0,12	7,4	0,016
DSrU7rC8**	ACGGUUCACGAGC	0,31	13	0,024

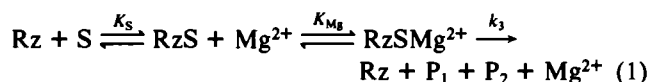
<sup>a</sup> Deoxyribonucleotides are noted by circling the nucleotide. A single asterisk indicates values calculated from Perreault et al. (1990) while a double asterisk denotes values calculated from Yang et al. (1990).

interactions within the helices. To evaluate the 2'-OHs of the single-stranded nucleotides of the core, several mixed RNA/DNA polymers, corresponding to both ribozyme and substrate sequences, were prepared, and their activities were determined (Perreault et al., 1990; Yang et al., 1990). It was concluded from the kinetic data of two hexadeoxy analogues of the ribozyme that the 2'-OH of G<sup>9</sup> was influential in the catalytic process. To confirm this result and to identify a purported second 2'-OH in this region impinging on the catalytic activity, a series of *minimally* deoxyribonucleotide-substituted ribozyme analogues were prepared and analyzed.

Confirmation of our previous result is shown in Figure 2A (also Table I), where an analogue having only the G<sup>9</sup> substituted with a deoxyribonucleotide (RRdG9) has an activity level considerably lower than the all-RNA ribozyme; the  $k_{\text{cat}}$  of this analogue is approximately 14 times less than the all-RNA ribozyme, although the  $K_M$  is virtually identical with that of the parent ribozyme. The strategy for identifying a second 2'-OH is revealed by comparison of the activities of a dideoxy (RRdG12dA13) and trideoxy analogue (RRdG26dA27dA28) in Table I. RRdG12dA13 has a lower activity than the all-RNA ribozyme, whereas the trideoxy analogue's activity is roughly the same as that of the parent ribozyme (Figure 2B). On the basis of the reduced  $k_{\text{cat}}$  (factor of 29) of the dideoxy analogue (Table I), we concluded that the remaining, unidentified 2'-OH(s) must be at one or both of the substituted positions (dG<sup>12</sup>, dA<sup>13</sup>). The monodeoxy analogues RRdG12 and RRdA13 were then made, and their activity level was determined (Figure 2B). The kinetic parameters show that the lack of a 2'-OH at A<sup>13</sup> strongly reduces the activity (factor of 20 on the  $k_{\text{cat}}$ ), whereas the absence of a 2'-OH at G<sup>12</sup> has only a minor effect (Table I). Although these experiments were carried out in  $\text{Mg}^{2+}$  (10 mM), a co-factor in the catalysis, the cation requirement can be satisfied with decreasing efficiency by the following:  $\text{Mn}^{2+} > \text{Mg}^{2+} > \text{Ca}^{2+}$  (Uhlenbeck, 1987). Reactions carried out with RRdG9 and the pentadeoxy analogue lacking the 2'-OH group in G<sup>9</sup> and A<sup>13</sup>, respectively, confirmed a reduced rate with these cations as well (data not shown).

We have previously postulated that the 2'-OH groups affecting catalytic activity could participate in the  $\text{Mg}^{2+}$  binding required for cleavage (Perreault et al., 1990). In this scenario, absence of an influential 2'-OH would reduce  $\text{Mg}^{2+}$  binding and consequently the activity of the ribozyme. This model leads to the prediction that a higher concentration of  $\text{Mg}^{2+}$  could stimulate the activity of the analogue ribozymes. To test this possibility, cleavage rates were compared in 10 and 50 mM  $\text{MgCl}_2$ . In Figure 2C, it is seen that although the activity of the all-RNA ribozyme remains constant at higher  $\text{Mg}^{2+}$  concentration (lanes 1 and 4), the activities of analogue ribozymes (RRdG9, lanes 2 and 5; RRdA13, lanes 3 and 6) increase dramatically. Interestingly, whereas cleavage of the predominantly ribonucleotide substrate analogue (RSdT7) is stimulated at higher  $\text{Mg}^{2+}$  concentrations, cleavage of the predominantly deoxyribonucleotide (with T replacing U) substrate analogue (DSrU7rC8) is actually inhibited at higher  $[\text{Mg}^{2+}]$  (inset of Figure 3). The postulated conformational modification of the catalytic core when predominantly deoxyribonucleotide substrates are used is consistent with this result (Yang et al., 1990).

**Description of the Reaction Pathway.** On the basis of the number of essential components in catalysis, the ribozyme (Rz), substrate (S), and magnesium ion ( $\text{Mg}^{2+}$ ), the reaction scheme leading to the ternary complex ( $\text{RzSMg}^{2+}$ ), catalytic cleavage, and products ( $\text{P}_1$  and  $\text{P}_2$ ) can be described by the following minimal number of steps [cf. Fehrst (1985)]:



where  $K_S = [\text{S}][\text{Rz}]/[\text{RzS}]$  and  $K_M = [\text{RzSMg}^{2+}]/[\text{RzS}][\text{Mg}^{2+}]$  are the dissociation constants of the  $\text{RzS}$  and ternary complex, respectively.  $k_3$  denotes the rate constant of the chemical step and  $[\text{Rz}_0]$  the total ribozyme concentration. Consequently, the Michaelis-Menten constant ( $K_M$ ) and catalytic rate ( $k_{\text{cat}}$ ) determined at constant  $[\text{Mg}^{2+}]$  can be expressed by  $K_M = K_S K_M / (K_M + [\text{Mg}^{2+}])$  and  $k_{\text{cat}} =$

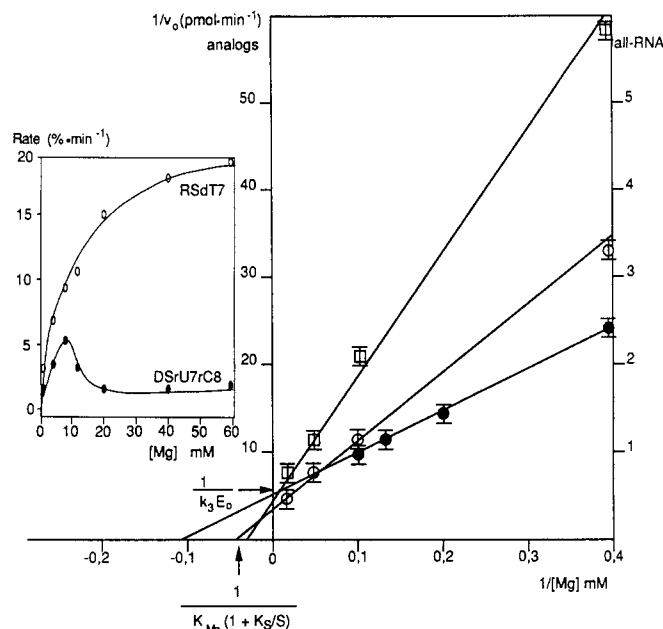


FIGURE 3: Plot of  $1/v$  versus  $1/[\text{Mg}^{2+}]$  as represented in eq 2 for different  $[\text{S}]$ . The intercept of the abscissa is  $1/(K_M(1 + K_S/[\text{S}]))$ . Only the results for 4.0  $\mu\text{M}$  substrate are shown in the plot. The left scale is for the analogue ribozymes, pentadeoxy ribozyme ( $\square$ ) and RRdG9 ( $\circ$ ), while the right scale is for the all-RNA ribozyme ( $\bullet$ ). The insert at the left is the time course ( $v$  versus  $[\text{Mg}^{2+}]$ ) for the reaction of the substrate analogues RSdT7 ( $\circ$ ) and DSrU7rC8 ( $\bullet$ ) with the all-RNA ribozyme.

Table II: Kinetic Parameters for Analogue Ribozyme Catalysis and for Analogue Substrates<sup>a</sup>

Ribozyme Analogs	Single strand Sequence	$K_S$ $\mu\text{M}$	$K_M$ mM	$k_3$ min <sup>-1</sup>	$k_3/K_M$ min <sup>-1</sup> ·mM <sup>-1</sup>
all-RNA	UGAUGA GAA	2,70	5,3	3,99	0,76
octadeoxy	UGAUGA GAA	1,19	42	0,07	0,0017
pentadeoxy	UGAUGA GAA	1,50	15,7	0,25	0,016
RRdG9	UGAUGA GAA	1,71	15,1	0,45	0,03
<b>Substrate</b>					
RSdT7	ACGGUUCACGAGC	11,6	17,5	0,33	0,019
DSrU7rC8	ACGGUUCACGAGC	55	3,1	0,32	0,011

<sup>a</sup>  $k_3$ ,  $K_M$ , and  $K_S$  were determined according to eq 2 from the dependency of reaction rate on  $[\text{Mg}^{2+}]$  and  $[\text{S}]$ .

$k_3[\text{Mg}^{2+}]/(K_M + [\text{Mg}^{2+}])$ . Plotting the initial velocity of cleavage measured at constant  $[\text{S}]$  as a function of  $[\text{Mg}^{2+}]$  by eq 2 permits the evaluation of  $K_M$  and  $k_3$ .

$$1/v = 1/k_3[\text{Rz}_0] + K_M(1 + K_S/[\text{S}])/k_3[\text{Rz}_0][\text{Mg}^{2+}] \quad (2)$$

In this way, the dissociation constant  $K_M$  was determined for the all-RNA ribozyme and several analogues (Figure 3 and Table II) using different constant  $[\text{S}]$ . The  $K_M$  value of 5.3 mM for the all-RNA ribozyme is indicative of a relatively low affinity between the  $\text{Mg}^{2+}$  and the ribozyme-substrate complex. The  $\text{Mg}^{2+}$  binding in the case of the D analogues, RRdG9, and a pentadeoxy-substituted ribozyme (which lacks the 2'-OH of A<sup>13</sup>) demonstrates even lower affinities since each has a  $K_M$  value 3 times higher than in the all-RNA ribozyme (Table II). This effect is enhanced in the octadeoxy analogue which lacks both influential 2'-OHs;  $K_M$  is about 8 times

higher than in the all-RNA ribozyme. A 3-fold increase in  $K_{Mg}$  is also observed with the analogue substrate having a deoxyribonucleotide at position 7 (RSdT7) compared with the all-RNA substrate. Thus, the absence of any of the three identified 2'-OHs results in an increase in the  $K_{Mg}$  by a remarkably similar factor of 3, and the  $K_{Mg}$  increases with the number of influential 2'-OHs removed from the ribozyme-substrate complex by deoxyribonucleotide substitution. We conclude that the formation of the ternary complex between ribozyme-substrate complex and  $Mg^{2+}$  is inhibited when the ribozyme lacks 2'-OHs at G<sup>9</sup> or A<sup>13</sup> and the substrate at U<sup>7</sup>.

Previously, it was argued that a modified catalytic core conformation was responsible for the reaction characteristics of a predominantly deoxyribonucleotide substrate analogue, DSRU7rC8. Here, the  $K_{Mg}$  was evaluated at 3.1 mM, approximately half the value of the RNA substrate (Table II). The fact that this higher affinity for  $Mg^{2+}$  in the ternary complex is not accompanied by an increase in catalytic efficiency further supports the hypothesis that the conformation of the catalytic complex is somehow altered. Moreover, this conformational modification could be responsible for the observed inhibition of the reaction at higher  $[Mg^{2+}]$  (see inset of Figure 3), the possible result of interference from the binding of a second  $Mg^{2+}$ . A similar inhibitory effect of a low-affinity  $Mg^{2+}$  was observed in the Pb<sup>2+</sup>-catalyzed cleavage of tRNA (Labuda et al., 1985).

The values of  $K_S$ ,  $K_{Mg}$ , and  $k_3$  are also shown in Table II. The values of  $K_S$  for the all-RNA ribozyme and the different analogues might be expected to be very similar because no deoxyribonucleotides have been introduced in the base-paired regions created during the interaction of the ribozyme with the substrate. This expectation is borne out to a certain degree since the  $K_S$  values for different ribozyme analogues range from 1.5 to 1.7  $\mu$ M, and the  $K_S$  for the all-RNA ribozyme has a higher value at 2.7  $\mu$ M. Although the differences between  $K_S$  values of the analogues are within experimental error, comparison of these values with that of the all-RNA ribozyme reveals a trend:  $K_S$  is inversely proportional to the number of deoxyribonucleotides in the analogue. If an equilibrium between active and inactive forms of the ribozyme fragment exists prior to the addition of substrate (Heus et al., 1990), it is conceivable that deoxyribonucleotide substitution could displace this equilibrium toward the active conformer, thereby promoting duplex formation between the ribozyme and the substrate. Alternatively, the absence of 2'-OHs could reduce steric hindrance to the formation of the substrate-ribozyme duplex. In either case, the similarity of  $K_S$  values results in a very high dependency of the formation of the catalytically active ternary complex on the  $K_{Mg}$  or on  $Mg^{2+}$  binding.

Evaluation of the  $k_3$  for the ribozyme and analogues is shown in Table II. From these values, it is clear that an approximate 10-fold decrease in  $k_3$  accompanies the absence of either of the three influential 2'-OHs, i.e., G<sup>9</sup> in RRdG9, A<sup>13</sup> in the pentadeoxy analogue, and U<sup>7</sup> in RSdT7. The  $k_3$  value for the all-RNA ribozyme (Table II) is only slightly higher than the corresponding  $k_{cat}$  determined at 10 mM  $Mg^{2+}$  (Table I), since this  $[Mg^{2+}]$  at double the  $K_{Mg}$  is almost saturating. The differences between the values of  $k_3$  for the ribozyme analogues and the corresponding values of  $k_{cat}$  are more pronounced, since the values of  $K_{Mg}$  for these ternary complexes are higher. This explains the stimulation of catalytic activity by increasing  $[Mg^{2+}]$  observed with the analogues (Figure 2). The value of  $k_3$ , independent of  $[Mg^{2+}]$ , corresponds to the rate of decomposition of the ternary complex within the framework of the proposed reaction scheme (eq 1).

The differences among values of  $k_3$  in Table II can be correlated with the observed differences in the binding of  $Mg^{2+}$  to the ribozyme-substrate complex as measured by the increasing  $K_{Mg}$  values. We note, however, that higher  $[Mg^{2+}]$  cannot completely compensate for lower reaction efficiency, suggesting that a lower  $k_3$  is related to an increased lability of bound  $Mg^{2+}$ .

The results presented here show the simple three-step reaction pathway to be at least *sufficient* to analyze the kinetics of the hammerhead-catalyzed reaction described in this paper. We realize, however, that this model may not be sufficient to account for all microscopic events leading to catalysis, such as indicated by the data contained in the inset of Figure 3 which demonstrate that higher  $[Mg^{2+}]$  may under certain circumstances be inhibitory to the reaction. Inhibition is not observed in any other system below 50 mM  $Mg^{2+}$ . In addition, it should be noted that in some circumstances the three-step scheme may not apply. For example, by extending the recognition sequences between ribozyme and substrate or lowering the temperature, it is possible to render the rate of the reaction dependent on product release (Koizumi et al., 1989; Fedor & Uhlenbeck, 1990). To avoid these types of complications, the ribozyme and substrate sequences have remained unchanged in our experiments, an option made possible by the use of structural modifications in the ribose moiety.

## DISCUSSION

The role of the  $Mg^{2+}$ , the influence of the 2'-OHs, and the possible interplay between them are critical issues in hammerhead ribozyme catalysis. Although the essential nature of  $Mg^{2+}$  binding was known, we have now shown that this binding is characterized by a constant ( $K_{Mg}$ ) indicating a relatively low affinity. The question of fundamental importance is whether this  $Mg^{2+}$  is catalytic, i.e., does the  $Mg^{2+}$  play a direct role in cleavage. At first glance, it would seem obvious that the  $Mg^{2+}$  is indeed catalytic, since there are no examples of RNA catalysis in the absence of a bivalent metal cation; however, conformational effects cannot be ruled out a priori. The conformational interpretation of these data requires a postulate that the critical  $Mg^{2+}$  binding that we have measured either provokes a conformational change or stabilizes an active conformer which leads to catalysis. However, melting experiments of mixtures of ribozyme and substrate in the presence and absence of  $Mg^{2+}$  show little difference in  $T_m$  or hyperchromicity; a significant difference could be ascribed to a conformational change upon binding of  $Mg^{2+}$ . Furthermore, only broadening of imine proton resonances has been observed in NMR studies upon the addition of  $Mg^{2+}$  (Heus et al., 1990; Odai et al., 1990; Heus & Pardi, 1990). The lack of significant spectral differences has prompted the authors to conclude that  $Mg^{2+}$  enters a preexisting cavity in the ribozyme-substrate complex (Heus & Pardi, 1990); i.e.,  $Mg^{2+}$  binding neither induces major conformational changes nor dramatically shifts preexisting conformational equilibria. It should be noted that even this negative evidence is not fully conclusive, since it is still possible that a minor conformational change upon  $Mg^{2+}$  binding would be sufficient to promote catalysis, but not substantial enough to be detected by these physical methods. Even with this methodological limitation, major conformational change seems highly unlikely.

On the other hand, there is ample precedent for the proposal of a catalytic  $Mg^{2+}$ . Many proteoenzymes promote catalysis by the use of cation cofactors including  $Mg^{2+}$ , which is at the catalytic site and actively involved in the chemical step of catalysis. One such example, the Klenow fragment, uses either  $Mg^{2+}$ ,  $Mn^{2+}$ , or  $Zn^{2+}$  directly in phosphate transesterification

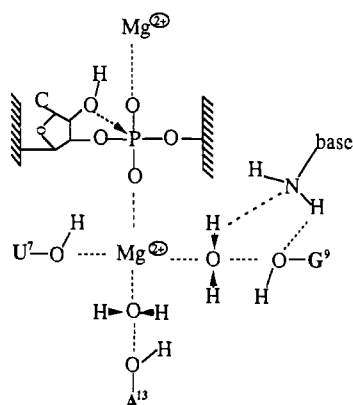


FIGURE 4: Model of the putative active site of the hammerhead ribozyme. The scissile phosphate is shown complexed to two  $\text{Mg}^{2+}$  ions, which delocalizes its negative charge. Influential 2'-OHs of substrate  $\text{U}^7$  and ribozyme  $\text{G}^9$  and  $\text{A}^{13}$  are shown in various configurations representing different ways in which they could interact in the formation of the catalytic site. The two remaining valences of  $\text{Mg}^{2+}$  could be filled by other functional groups on the ribozyme or by solvent molecules.

as in the present case (Freemont et al., 1988). Herschlag and Jencks (1987) have proposed that divalent metal ions act by stabilizing the leaving group in a displacement reaction at phosphorus, thereby reducing the requirements on the attacking nucleophile. This may be a crucial key factor in hammerhead ribozyme catalysis which depends on the relatively poor nucleophilicity of the adjacent 2'-OH for transesterification.

In considering the role of the 2'-OHs and their effect on  $K_{\text{Mg}}$ , we must again confront the possibility of a conformational effect, i.e., that the deoxy substitution changes the conformation of the ribozyme in such a way that  $\text{Mg}^{2+}$  binding is affected. Here again, available evidence argues against such an interpretation, since the  $K_{\text{M}}$ 's of all ribozymes are virtually identical even though the  $K_{\text{Mg}}$  varies by a factor of 3. As mentioned previously, the  $K_{\text{S}}$  and thus the  $K_{\text{M}}$  will be sensitive to conformational changes as demonstrated with the D substrates (Yang et al., 1990). Again, it is obvious that small conformational changes cannot be completely ruled out by these data; in fact, small changes are to be expected, since the preferred conformation of deoxyribonucleotides is 2'-endo in contrast to 3'-endo for ribonucleotides. However surprising as it might be, these small structural alterations of the catalytic domain do not seem to play a significant role in the very consistent kinetic data set presented here and previously (Perreault et al., 1990; Yang et al., 1990).

On the basis of the above arguments and the analysis of the kinetic data, it can be concluded that large conformational changes probably do not take place either in  $\text{Mg}^{2+}$  binding or in the use of deoxyribonucleotide substitution in the ribozyme. Significantly, even small conformational effects, though present, are not necessary to explain the kinetic data on the formation of the ternary complex presented here. We have thus devised a simple model illustrated in Figure 4 which is sufficient to describe and fully consistent with our data. The critical factor of this proposal involves the binding of a catalytic  $\text{Mg}^{2+}$  to a low-affinity site which is at least partially defined by the influential 2'-OHs. The 2'-OHs could interact with the  $\text{Mg}^{2+}$  in a variety of ways: directly, in an inner-sphere complex [cf. Labuda and Pörschke (1982)], or via solvent-mediated bridges either alone or in combination with functional groups on the nitrogen bases.

Interactions between 2'-OHs and solvent or  $\text{Mg}^{2+}$  have been found in the tRNA crystal structure (Holbrook et al., 1977;

Hingerty et al., 1978) and have been proposed for the  $\text{Mg}^{2+}$  implicated in group I intron activity (Sugimoto et al., 1988, 1989). Inner-sphere complexes of  $\text{Mg}^{2+}$  with the hydroxyl groups of threonine and serine are well-documented in the crystal structure of the Ras protein (Schlichting et al., 1990). The relatively low affinity of the site for  $\text{Mg}^{2+}$ , which decreases further in the absence of the 2'-OHs, suggests that the putative metal ion binding pocket is located in an area of rather low electrostatic potential. However, as indicated above, the positively charged  $\text{Mg}^{2+}$  should be located near the negatively charged phosphate, the catalytic target. This apparent contradiction can be resolved by the consideration of the effect of previously bound  $\text{Mg}^{2+}$ 's, which serve the role of phosphate counterions. This higher affinity (lower specificity) binding would shield or delocalize negative charges associated with the phosphodiester bond, thereby reducing the electrostatic potential of the catalytic pocket. Although shielding could result from a "cloud" of positively charged counterions, it may be pertinent to consider a specific counterion. For instance, two metal ions have been found at the catalytic center of the Klenow fragment exhibiting an endonucleolytic activity similar to that of the ribozyme (Freemont et al., 1988). It has not escaped our attention that a phosphodiester interacting with two cations would be considerably more electrophilic than others and as a result a prime target for a nucleophilic attack.

Both the 2'-OH of  $\text{G}^9$  and  $\text{A}^{13}$  of the ribozyme are implicated in some aspect of  $\text{Mg}^{2+}$  binding necessary for catalysis, and on the basis of kinetic parameters determined previously for an extensively substituted analogue (octadeoxy analogue), these two are the only hydroxyls of the single-stranded region so implicated. Also, it seems that the effect of influential ribozyme 2'-OHs is not cooperative, for if we assume that only these hydroxyls are influential and that their effects are independent, the product of their  $k_{\text{cat}}$ 's ( $0.18 \times 0.13 = 0.023$ ) is very close to the  $k_{\text{cat}}$  observed in the case of the octadeoxy analogue (0.014). Clearly these results dispel fears that the introduction of deoxyribonucleotides in single-stranded regions of the ribozyme leads to a major alteration of the catalytic properties or the conformation of the ribozyme. Our results rather imply that these 2'-OHs have a role to play in the binding of  $\text{Mg}^{2+}$  in the catalytic pocket. The fact that other examples of RNA catalysis have metal ion requirements in the range of 10 mM certainly raises the issue of whether all of these RNA-catalyzed reactions are related to the molecular mechanism proposed herein (Takada et al., 1986; Sugimoto et al., 1988; Grosshans & Cech, 1989).

Finally, the reaction pathway is summarized as an energy profile in Figure 5, where Gibbs free energy differences were calculated from the appropriate kinetic parameters (Fersht, 1985). The first step, formation of the ribozyme-substrate complex, is of course dependent on the initial conformation of both the ribozyme and the substrate, which in turn is related to the ability of the substrate and/or the ribozyme to fold into a stable intramolecular structure, as recently demonstrated by Heus et al. (1990). In the case of the ribozyme used in our study, an inactive conformation resulting from helix formation between  $^5\text{GUCU}^8$  and  $^{29}\text{AGAC}^{32}$  (Figure 1) is possible as suggested above to rationalize the effect of deoxyribonucleotide substitution on  $K_{\text{S}}$ . The stability of this structure would be approximately  $-3 \text{ kcal mol}^{-1}$  from the thermodynamic parameters derived by Freier et al. (1986). The reaction scheme could therefore include the melting of the intramolecular structure before the formation of the ribozyme-substrate complex. On the basis of the  $K_{\text{S}}$  for the all-RNA ribozyme and substrate, the free energy related to substrate

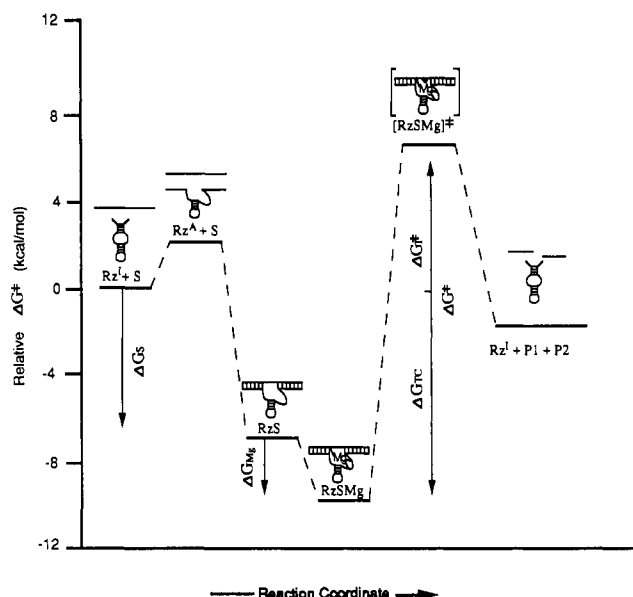


FIGURE 5: Energy profile proposed for hammerhead catalysis. The  $\Delta G_S$  is calculated from the equation  $\Delta G_S = RT \ln K_S$ , where  $R$  is  $1.987 \text{ cal mol}^{-1}$  and  $T$  the reaction temperature in degrees kelvin. The energy change going from the ribozyme-substrate complex to the ternary complex is  $\Delta G_{Mg} = RT \ln K_{Mg}$  ( $-3.2 \text{ kcal mol}^{-1}$ ), and  $\Delta G_{TC}$  the free energy difference in the formation of the ternary complex  $RzSMg$ , is thus equal to  $\Delta G_S + \Delta G_{Mg}$ . Assuming the transition-state theory applied to Michaelis-Menten kinetics,  $\ln k_{cat}/K_M = \ln kT/h - \Delta G^\ddagger - \Delta G_{TC}$ , where  $k$  is Boltzmann's constant,  $h$  is Planck's constant, and  $\Delta G_T^\ddagger$  is the transition energy. The activation energy  $\Delta G^\ddagger$  is  $+17.6 \text{ kcal mol}^{-1}$ , and  $\Delta G_T^\ddagger$  is  $-6.5 \text{ kcal mol}^{-1}$ .  $Rz^I$  and  $Rz^A$  refer to putative inactive and active conformations of the ribozyme, respectively.

binding ( $\Delta G_S$ ) is estimated at  $-7.9 \text{ kcal mol}^{-1}$ .

Following the formation of the  $RzS$  complex, the  $Mg^{2+}$  essential for catalysis can bind to give the ternary complex  $RzSMg^{2+}$ . The energy involved in  $Mg^{2+}$  binding ( $\Delta G_{Mg}$ ) is  $-3.2 \text{ kcal mol}^{-1}$ . From the  $K_{Mg}$ 's obtained with different analogue ribozymes, the contribution of each influential 2'-OH is evaluated at about  $-0.7 \text{ kcal mol}^{-1}$  per hydroxyl. Subsequently, the ternary complex decomposes by way of the transition state whose energy level is calculated at  $+6.5 \text{ kcal mol}^{-1}$  ( $17.6 \text{ kcal mol}^{-1}$  higher than the ternary complex, Figure 5). Finally, chemical cleavage proceeding through the transition state and likely a trigonal bipyramid intermediate leads to product (Cedergren et al., 1987). It remains to be seen whether this step involves major conformational changes or other as yet undefined aspects of the structure.

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